

Shawn Fleming

Professional Wealth Management Services LLC

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FORM ADV PART 2B BROCHURE SUPPLEMENT

This brochure supplement provides information about Shawn Fleming that supplements the Professional Wealth Management Services LLC brochure. You should have received a copy of that brochure. Please contact Shawn Fleming at the address or number listed above if you did not receive the Professional Wealth Management Services LLC brochure or if you have any questions about the contents of this supplement.

Additional information about Shawn Fleming is available on the SEC's website at www.adviserinfo.sec.gov.

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Educational Background and Business Experience

Form ADV Part 2B, Item 2

Name: Shawn M. Fleming

Year of Birth: 1970

Formal Education after High School:

- The American College, ChFC Advanced Financial Planning Curriculum, 2007-2011
- Eastern Michigan University, MS, Engineering Management, 2005-2009
- NC State University, BS, Computer Engineering (Eta Kappa Nu Honor Society Member), 1998-2001
- Forsyth Technical Community College, AAS, Respiratory Care Technology, 1990-1993

Business Background for the Previous Five Years:

- Professional Wealth Management Services LLC, Member/Manager, 02/2009-Present

Disciplinary Information

Form ADV Part 2B, Item 3

Shawn Fleming has never been the subject of any legal or disciplinary event.

Other Business Activities

Form ADV Part 2B, Item 4

While PWMS is not associated with any other financial industry activities or affiliations, members or employees of PWMS may be licensed and/or appointed independently with insurance companies or insurance brokers as an outside business activity under a separate business or employment. Currently, Shawn Fleming is independently licensed in Life, Accident, and Health insurances. In the course of providing comprehensive financial planning, Shawn may identify gaps in a client's insurance coverage and recommend that the client consider various types of insurance coverage as part of the client's personal risk management and contingency planning. If the client wants additional insurance coverage but does not have a personal

insurance agent, Shawn will make himself available to help the client as an independent insurance agent. In doing so, Shawn will encourage the client to make personal risk evaluations and cost-benefit analyses with regard to potential insurance companies, insurance products, and insurance providers within the marketplace before committing to an insurance purchase. Shawn provides written disclosures to the client that stress the importance of such comparisons by the client as a consumer in addition to explaining his representation and compensation as an agent of specific insurance companies. These disclosures emphasize that (1) PWMS clients are under no obligation to work with any specific insurance provider, including Shawn (2) working with Shawn separately for insurance purposes does not impact and is in no way required to maintain a financial planning, wealth management, pension consulting, or wrap program relationship with PWMS (3) there are no conflicts of interest with regard to PWMS and its advisory services as PWMS does not receive compensation of any kind based on a client purchasing insurance, and (4) as an independent agent, Shawn may separately receive a commission or other compensation from insurance companies or their distributors as part of any outside insurance business that is transacted.

Shawn Fleming is also actively engaged in general, non-securities related consulting. This activity has been limited so far to the fields of general business and management consulting. There are no foreseeable conflicts of interest between PWMS and the personal consulting activities performed by Shawn Fleming. The amount of time spent consulting depends on the needs of clients, but is expected to be minimal and has averaged less than 100 hours per year. Time allocated to consulting does not affect or detract from time spent performing normal PWMS business activities.

Additional Compensation

Form ADV Part 2B, Item 5

Shawn Fleming does not receive any economic benefits for providing investment advice or other advisory services from anyone who is not a client.

Supervision

Form ADV Part B, Item 6

PWMS is currently a two advisor firm. The advisory activities of each advisor are discussed with and monitored by the other advisor. Therefore, an inherent system of supervision and checks and balances exist for all advisory activities within the firm. The contact information for the supervisor is:

John Stevenson
Principal and Director
(704) 872-0022